Liquid Capital Statement

for the month of 30-SEP-21

of M/s. MGM Securities (Pvt) Ltd

Submission Date < Not Submitted>

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d vo	Head of Account	Talua in	Tain Out /	
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
		Tak Rupee	Adjustments	varue
1	Assets			
1.1	Property & Equipment	7,937,420	7,937,420	
1.2	Intangible Assets	2,500,000	2,500,000	
	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tendre norm 1-3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities I. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.	10.005.510	40.005.540	
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	19,605,540 1,400,000	19,605,540 1,400,000	
1.0	or any other entity.	1,400,000	1,400,000	
	Margin deposits with exchange and clearing house.	10,800,000	0	10,800,000
	Deposit with authorized intermediary against borrowed securities under SLB.	1 550 055	1 550 055	
	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	1,559,955	1,559,955	
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	101,000	0	101,000
	ii. Receivables other than trade receivables	2,727,438	2,727,438	
1.16	Receivables from clearing house or securities exchange(s)	2,727,430	2,727,430	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	10,022,563	0	10,022,563
	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut	270 :		
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	976,450	0	976,450
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	11,777,428	10,805,714	10,805,714
	value of securities purchased for customers and held in sub-accounts after applying VAR based	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	-,,	
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	29,145,393	0	29,145,393
	ii. Bank balance-customer accounts	22,333,198	0	22,333,198
	iii. Cash in hand	22,079	0	22,079

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for the month of 30-SEP-21

of Ms. MGM Securities (Pvt) Ltd

Submission Date < Not Submitted>

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1.19 Total Assets 1.10 Total A					
Library Libr	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Lebsities Psychiatr to exchange and identify house	1.19	Total Assets	120,908,464	46,536,067	84,206,397
Provide to exchange and clearing house 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 28,479,198 0 275,511 0 27	2				
Payable to exchange and clearing house 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 26,479,195 0 275,811 0 275,	2.1	Trade Payables			
B. Fingstate tic cactomes		i. Payable to exchanges and clearing house			
Corrent Liabilities Statistics and other poyeties Accounts an					26,479,195
Statutory and regulatory dues 275,811 0 275,811 1 275,811 27	2.2		0	0	
B. Accusals and other payables B. Short - term between B. Short	2.2		275 811	0	275 811
V. Curent postton of subcrdinated Joans V. Curent postton of subcrdinated Joans V. Curent postton of long term liabilities V. Defend Liabilities V. One Liabilities			270,011	0	270,011
v. Current portion of fong term liabilities vi. Determed Liabilities vi. Determed Liabilities vi. Determed Liabilities vi. Control Liabilities vi. Li Long Jerm financing a Li Long Jerm financing detained from financial institution. Long term portion of financing ebitatiend from a financial institution including amount due against financial lease vi. Control Liabilities vi. Control Liabil					
vi. Detended Labellines vi. Provision for based debts vi. Provision for based debts vi. Provision for transition vi. Other Intellistics as the accounting principles and included in the financial statements vi. Other Intellistics vi. Staff retirement benefits vi. Staff retirement benefits vi. Other Intellistics vi. Staff retirement benefits vi. Other Intellistics vi					
vi. Provision for band debts vii. Provision for band debts vii. Provision for band debts vii. Other labilities as per accounting principles and included in the financial datements 366,048 Non-Current Labilities 1. Long-Term financing 2. Long-Term financing 3. Long-Term financing 3. Long-Term financing 3. Long-Term financing 4. Long-Term financing 5. Control Labilities 6.					
No. Current Liabilities as per accounting principles and included in the financial statements 366,046 0 366,046			1,057,535	0	1,057,535
Non-Current Liabilities Long-term financing Long-term financing obtained from financing					
a Long-Term financing obtained from financial institution Long term portion of financing obtained from a financial institution Louding amount due against finance lease 6.255 0 6.255	2.3	Non-Current Liabilities	366,046	0	366,046
b. Other long-term financing II. Staff retrement benefits III. Advance against shares for increpted of securities broker: 100% halrout may be allowed in respect of advance against shares for increpted as a fine existing authorized share capital allows the proposed enhanced share capital capital of the existing authorized share capital allows the proposed enhanced share capital capital of the existing authorized share capital allows the proposed enhanced share capital capita		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
II. Staff retirement benefits III. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			6 255	0	6 255
respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statements. v. Other liabilities as per accounting principles and included in the financial statement may be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. v. In case of early repayment of loan, adjustment shall be made to the liquid Capital and revised tiquid Capital statement must be submitted to exchange. v. In case of early repayment of loan, adjustment shall be made to the liquid Capital and revised tiquid		ii. Staff retirement benefits	0,200	J.	0,200
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3.6 Amount Payable under REPO					
3.7 Repo adjustment		Amount Payable under REPO			
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 30-SEP-21

of M/s. MGM Securities (Pvt) Ltd

Submission Date < Not Submitted>

Page 3 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securities.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security Opening Positions in futures and options			
3.7				
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applying VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	92,723,622	46,536,067	56,021,555